

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

INL-083CP6C5

First Named Inventor

Jeffrey I. Auerbach

Title

IN VITRO AMPLIFICATION OF NUCLEIC ACID
MOLECULES VIA CIRCULAR REPLICONSU.S. PTO
10/759644**APPLICATION ELEMENTS**

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-14501. ☒ Fee Transmittal Form2. ☐ Small Entity Status☐ Applicant claims small entity status☐ Status established in prior application and is still proper and desired3. ☒ Specification and Drawings [Total Pages 95]

- Written Description - (72 pages)

- Claims - (3 pages)

- Abstract - (1 page)

- Sheets of Drawings - (19 sheets)

☒

Formal

☐

Informal

4. ☒ Oath or Declaration [Total Pages 1]a. ☐ Newly executed (original)b. ☒ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

5. ☒ Incorporation by Reference (usable if Box 4b is checked)

The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☒ Application Data Sheet7. ☒ Nucleotide and/or Amino Acid Sequence Submission☒ Computer Readable Copy☒ Paper Copy (identical to computer copy)☐ CD (identical to computer copy)☒ Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)☐ Power of Attorney9. ☐ English Translation Document (if applicable)10. ☒ Information Disclosure Statement (IDS)/PTO-1449☐ Copies of IDS Citations11. ☒ Preliminary Amendment☐ Drawings [Total Sheets]☐ Letter to Official Draftsperson Including Drawings [Total Pages]12. ☒ Return Receipt Postcard13. ☐ Certified Copy of Priority Document(s)14. ☐ Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in the prior application.

15. ☐ CD in duplicate for large table or computer program.16. ☐ Other:17. ☒ If a CONTINUING APPLICATION, amend the specification by inserting on page 1, before the first line, the sentence: --This is a

☒ continuation of U.S. Application Serial No. 10/143,862, filed May 14, 2002, which is a continuation of U.S. Application Serial No. 09/899,834, filed July 9, 2001, which is a continuation-in-part of U.S. Application Serial No. 09/657,943, filed September 8, 2000, now U.S. Patent No. 6,261,808, which is a continuation-in-part of U.S. Application Serial No. 09/188,214, filed November 9, 1998, now U.S. Patent No. 6,218,152, which is a continuation of U.S. Application Serial No. 08/906,491, filed August 5, 1997, now U.S. Patent No. 5,834,202, which is a continuation-in-part of U.S. Application Serial No. 08/595,226, filed February 1, 1996, now U.S. Patent No. 5,733,733, which is a continuation-in-part of U.S. Application Serial No. 08/533,852, filed September 26, 1995, now U.S. Patent No. 5,614,389, which is a continuation-in-part of U.S. Application Serial No. 08/383,327, filed February 3, 1995, now U.S. Patent No. 5,591,609, which is a continuation-in-part of PCT Application No. PCT/US93/07309, filed August 4, 1993, which is a continuation-in-part of U.S. Application Serial No. 07/933,945, filed August 24, 1992, now abandoned, which is a continuation-in-part of U.S. Application Serial No. 07/924,643, filed August 4, 1992, now abandoned, all of which are incorporated by reference herein.--

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: Kenneth R. Horlick Group/Art Unit: 1637.18. ☐ Priority - 35 U.S.C. 119☐ Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 U.S.C. 119.☐ The certified copy has been filed in prior U.S. application Serial No. _____/_____ on _____.☐ The certified copy will follow.**CORRESPONDENCE ADDRESS**


Direct all correspondence to:

Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

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Date: January 16, 2004
Reg. No. 43,153
Tel. No.: (617) 310-8168
Fax No.: (617) 248-7100

Respectfully submitted,


Diana M. Steel
Attorney for Applicant
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110

FEE TRANSMITTAL FY 2004

Complete if Known

Application Serial Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Jeffrey I. Auerbach
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	INL-083CP6C5

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
770	Utility filing fee	770.00
340	Design filing fee	
160	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
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Total Claims	2	- 20 = 0	x \$ 18.00 =	0
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Independent Claims	2	- 3 = 0	x \$ 86.00 =	0
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☐ Multiple Dependent Claim(s), if any \$290.00 =

TOTAL: 770.00

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 770.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total	- 76 =		x \$ 18.00 =	
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Indep.	- =		x \$ 86.00 =	
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☐ First Presentation of Multiple Dep. Claim + \$290.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$0.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
420	210	Extension for reply within second month	
950	475	Extension for reply within third month	
1480	740	Extension for reply within fourth month	
2010	1005	Extension for reply within fifth month	
330	165	Notice of Appeal	
330	165	Filing a brief in support of an appeal	
290	145	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
770	385	Filing a submission after final rejection (37 CFR 1.129(a))	
770	385	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
110	55	Submission of Terminal Disclaimer	
Other fee (Specify)		Request for Continued Examination	
Other fee (Specify)			

SUBTOTAL (3) (\$) 0.00

SUBTOTAL (1) 770.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 0.00

TOTAL (\$) 770.00

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SIGNATURE BLOCK

Respectfully submitted,

Date: January 16, 2004
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